



B 210A (Form 210A) (12/09)

UNITED STATES BANKRUPTCY COURT

Southern District of New York

In re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (JMP)
(Jointly Administered)

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001 (e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Name of Transferee

Union Bancaire Privée, UBP SA

Name of Transferor

HSBC PRIVATE BANK SUISSE SA

Name and Address where notices to transferee
should be sent:

Court Claim # (if known):
Lehman Sec. Pr. Proof of Claim: 0000051762
Amount of Claim: \$166'000.-
Date Claim Filed: 10.28.2009

Phone: +41.58.819.3428
Last Four Digits of Acct #:

Phone: +41.22.705.55.39
Last Four Digits of Acct. #:

Name and Address where transferee payments
should be sent (if different from above):

Phone:
Last Four Digits of Acct #:

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: _____

Transferee/Transferee's Agent

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SECURITIES DPT


SECURITIES DPT

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

AGREEMENT AND EVIDENCE OF TRANSFER OF CLAIM
LEHMAN PROGRAM SECURITY

TO: THE DEBTOR AND THE BANKRUPTCY COURT

For value received, the adequacy and sufficiency of which are hereby acknowledged, HSBC PRIVATE BANK (SUISSE) S.A., Geneva ("Transferor") unconditionally and irrevocably transferred to Union Bancaire Privée, UBP SA 96-98 Rue du Rhone, 1211 Geneva 1 ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (Claim No. 51762), the nominal amounts and relating securities with International Securities Identification Number listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this AGREEMENT AND EVIDENCE OF TRANSFER OF CLAIM is executed this day of:

Date: July 3rd, 2014

Transferor

HSBC PRIVATE BANK (SUISSE) S.A.

Quai des Bergues / P.O. Box 2888

CH- 1211 Geneva 1

Signature(s):

Signature(s):


Stéphane Bernotti
Associate

Schedule 1

Lehman Programs Securities Related to Transferred Portion of Claim:

ISIN	Court Claim #	Date Claim Filed	Issuer	Currency and nominal amount or Units
XS0326476693	51762	10/28/2009	LEHMAN BROTHERS TREASURY BV	GBP 40'000.-
XS0264674549	51762	10/28/2009	LEHMAN BROTHERS TREASURY BV	GBP 49'000.-
XS0271141565	51762	10/28/2009	LEHMAN BROTHERS TREASURY BV	GBP 38'000.-
XS0314871293	51762	10/28/2009	LEHMAN BROTHERS SECURITIES NV	GBP 39'000.-

EXPRESS 12:00
DHL Easyship 5.8.12
TDT

FROM: M. JEAN - BAPTISTE EHATH
88 RUE DU RHONE
1204 GENEVE
SWITZERLAND
Origin: GVA

TO: EPIQ BAKKUPITY SOLUTIONS LTD
LEHMAN BROTHERS HOLDINGS CLERKS PROCESSING
757 THIRD AVENUE 10 FLOOR
10017 NEW YORK, NEW YORK
UNITED STATES OF AMERICA

US-ZYP

Day: Time
X12

Account: 150567912
Shipment Ref: 605
Content: DOCUMENTS

Shipment Weight: 0.50kg
Date: 2014-07-08
Place: 1/1

EXPRESS 12:00
DHL
10017 NEW YORK, United States
JFKL
US-ZYP-TS2
Day: Time
X12

Account No: 150567912
Ref code: 505
Date: Pcs / Shpt Weight Place
1/1

WABILL
WABILL 40 8214 3203
EPIQ SYSTEMS
JUL 09 2014
FILED / RECEIVED

(J)JD01 3036 2036 7001 4018
(2L)US10017 + 38002000

DHL EXPRESS
12:00

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